

3763



**PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: PHILLIP D. PURDY

Serial No.: 09/905,670

Examiner: Unassigned

Filed: JULY 13, 2001

Group Art Unit: 3763

For: METHODS AND APPARATUSES FOR NAVIGATING THE
SUBARACHNOID SPACE

Docket No.: 1001.1599102

TRANSMITTAL SHEET

RECEIVED
JUN 20 2003
TECHNOLOGY CENTER R3700

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

<p>CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this <u>13th</u> day of <u>JUNE</u>, 2003.</p> <p>By <u>J. Scot Wickhem</u> J. Scot Wickhem</p>

Dear Sir:

We are transmitting herewith the attached:

- ☒ Information Disclosure Statement under 37 C.F.R. §1.97(b)
- ☒ Form PTO-1449 with Ten (10) cited references
- ☒ Postcard receipt
- ☒ Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413



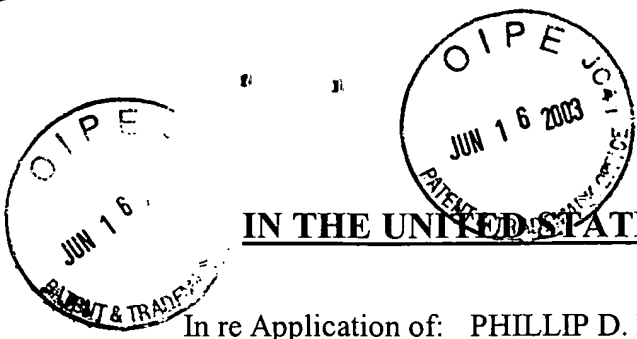
28075

PATENT TRADEMARK OFFICE

By: J. Scot Wickhem
J. Scot Wickhem, Reg. No. 41,376

CROMPTON, SEAGER & TUFTE, LLC

1221 Nicollet Avenue, Suite 800
Minneapolis, Minnesota 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



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INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))

Commissioner for Patents
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By


J. Scot Wickhem

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the items of information listed on the attached PTO-1449 is brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

PHILLIP D. PURDY

By his Attorney,

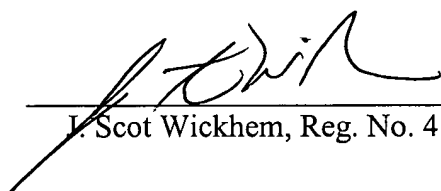
Date: _____

June 13, 2003



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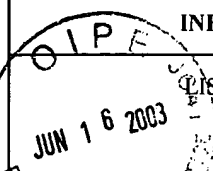
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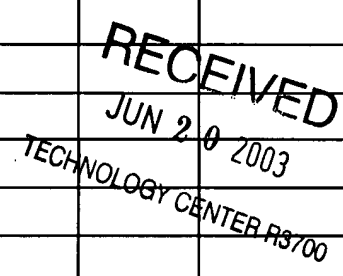
Date Mailed: June 13, 2003

Sheet 1 of 1

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1001.1599102	Serial No.: 09/905,670
	Applicant: PHILLIP D. PURDY	
	Filing Date: July 13, 2001	Group Art: 3763

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	FILING DATE IF APPROPRIATE
	3,782,388	01/01/1974	Page		
	4,619,643	10/28/1986	Bai		
	4,838,878	06/13/1989	Kalt et al.		
	5,814,016	09/29/1998	Valley et al.		
	5,928,155	07/27/1999	Eggers et al.		
	5,908,385	06/01/1999	Chechelski et al.		

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	CLASS	TRANSLATION	
					YES	NO
	EP 0 454 264	10/30/1991	EPO			
	EP 1 062 959	12/27/2000	EPO			
	WO 97/44082	11/27/1997	WIPO			
	WO 99/20334	04/29/1999	WIPO			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	